



877.807.4122 | SMEADCAP.COM

## Form Instructions

Subscriptions may also be made by calling the telephone number above.

**To: Smead Funds**  
C/O BFDS  
PO Box 55968  
Boston MA 02205-5968

**Attn: Smead Funds**  
C/O BFDS  
30 Dan Road Suite 55968  
Canton, MA 02021-2809

There may be penalties for withdrawing certain investments before their maturity (i.e., certificates of deposit or annuities). Please contact your current custodian or plan administrator prior to submitting this form to determine the applicable time frames and penalties, if any, or if you need a signature guarantee in Section Six to order this transfer. UMB Bank, n.a. will initiate your request upon receipt of this form.

## Section 1 | INVESTOR INFORMATION

FIRST NAME

LAST NAME

ADDRESS

CITY/STATE/ZIP

DAYTIME PHONE NUMBER

EVENING PHONE NUMBER

SOCIAL SECURITY NUMBER

## Section 2 | INSTRUCTIONS TO CURRENT IRA CUSTODIAN OR PLAN ADMINISTRATOR

Please include a copy of your current account statement.

CURRENT CUSTODIAN OR PLAN ADMINISTRATOR

ACCOUNT NUMBER

CONTACT PERSON

CONTACT NUMBER

STREET ADDRESS

CITY/STATE/ZIP

**Consider this your authorization to redeem my investment and transfer my Traditional IRA, SEP IRA, SIMPLE IRA, Roth IRA, or Inherited IRA, or to directly rollover my qualified retirement plan as directed below:\***

All Assets **OR**  \$  **OR**  % **OR**  Shares

**Please process this request:\***

Immediately **OR**  At Maturity (month / day / year)

\* If no option is selected, please transfer all assets immediately.



Instructions to Current IRA Custodian or Plan Administrator (continued)

INSTRUCTIONS FOR DELIVERY (INDICATE HOW YOU WANT YOUR CURRENT TRUSTEE/CUSTODIAN TO DELIVER THE ASSETS TO UMB BANK, N.A.):

- Wire - Funds available immediately upon receipt, your Custodian/Trustee may charge a fee for this service
Check - Funds may not be available for 12-15 Business days
First Class Mail Overnight Delivery - Take the fee from my account
Overnight Delivery via Third Party - Charge the fee to my FedEx or UPS account
FedEx
UPS Account/Billing Number

PROCESSING INSTRUCTIONS (INDICATE HOW YOU WANT US TO INITIATE YOUR TRANSFER/ROLLOVER):

- Standard Processing Service- No Charge, transfer form will be sent via First Class Mail
Overnight Delivery - \$15.00 fee, select one of the options below; if no selection is made we will use First Class Mail
We will overnight your transfer form to your previous Custodian/Trustee
Physical address must be provided, cannot overnight to a PO BOX
Use the attached check made payable to UMB Bank, n.a.
Charge the \$15.00 fee to my third party billing provided below:
FedEx
UPS Account/Billing Number

Type of account being transferred/rolled-over:

- Pension Profit Sharing Plan 401(k) 403(b) Roth 401(k) Roth 403(b) Traditional IRA SEP IRA SIMPLE IRA
Roth IRA Inherited IRA Other

Send the check representing the assets payable to "The Smead Value Fund FBO [Shareholder's Name]" along with a copy of this form to the address at the top of page one.

Section 3 | INVESTMENT SELECTION

A Smead Value Fund IRA Account Application must be completed to process this transfer if a new account is being established. The Fund(s) and the allocation(s) specified on the Application will be used if they are different from those indicated below.

Table with 4 columns: Fund Name, Amount per Draw, AIP Start Month, AIP Start Day. Rows include Smead Value Fund Investor Shares (4601), Smead Value Fund I1 Shares (4602), and Smead Value Fund Y Shares (4604).

Section 4 | AGE 70½ INFORMATION

Check one of the following:

- I am under the age of 70½ and do not turn 70½ at anytime during this calendar year; OR
I am age 70½ or older and understand that no part of my required minimum distribution is eligible for transfer or rollover. I further understand that there may be significant tax penalties if a transfer or rollover of my required distribution occurs.



### Section 5 | CONVERSION OF TRADITIONAL IRA TO ROTH IRA - OPTIONAL

I am converting assets from a Traditional IRA to a Roth IRA. Upon receiving the assets from my current Custodian, I instruct the Fund's transfer agent to invest the proceeds into a new or existing Roth IRA account, as indicated in Section Two. I understand this may be a taxable event. By signing below I agree that I am solely responsible for all tax consequences of this conversion.

OWNER'S SIGNATURE\*

DATE (MM/DD/YYYY)

\*The Fund's Transfer Agent cannot process the conversion without a signature above.

### Section 6 | SIGNATURE AND CERTIFICATION

I certify that I have established an IRA with the Smead Value Fund, of which UMB Bank, n.a., is the Custodian. I agree to contact my present Custodian from whom I am transferring to determine if specific documentation or a signature guarantee is required. I understand that I am responsible for determining my eligibility for all transfers or direct rollovers. I agree to hold the Custodian harmless against any and all situations arising from an ineligible transfer or direct rollover. I acknowledge that the Custodian or its agent cannot provide legal advice and I agree to consult with my own tax professional for advice.

I authorize UMB Bank, n.a. to act on my behalf in contacting the current custodian or plan administrator to facilitate the transfer of assets.

SIGNATURE OF OWNER [OR GUARDIAN IF IRA OWNER IS A MINOR]

DATE (MM/DD/YYYY)

SIGNATURE GUARANTEE\* (FOR TRANSFERS FROM ANOTHER CUSTODIAN)

DATE (MM/DD/YYYY)

**IMPORTANT:** Please contact your current Custodian to determine if a signature guarantee\* is required.

\* A signature guarantee may be obtained from any eligible guarantor institution, as defined by the Securities and Exchange Commission. These institutions include banks, saving associations, credit unions and brokerage firms. The words "SIGNATURE GUARANTEED" must be stamped or typed near your signature. The guarantee must appear with the printed name, title, and signature of an officer and the name of the guarantor institution. Please note that a Notary Public Seal or Stamp is not acceptable.

### Section 7 | ACCEPTANCE / CUSTODIAN AUTHORIZATION

UMB Bank, n.a. hereby accepts its appointment as Custodian of the above IRA account and upon receipt of assets, will deposit such assets in a Smead Value Fund IRA on behalf of the Depositor authorizing this transfer or direct rollover.

UMB Bank, n.a.

**Custodian Acceptance:** UMB Bank, n.a. accepts appointment as Custodian of the Depositor's Account; however, this Agreement is not binding upon the Custodian until the Depositor has received a statement confirming the initial transaction for the Account. Receipt by the Depositor of a confirmation of the purchase of the Fund Shares indicated above will serve as notification of UMB Bank, n.a.'s acceptance of appointment as Custodian of the Depositor's Account.

### Before you mail, have you:

Completed all USA PATRIOT Act required information?

- Social Security or Tax ID Number in Section 1 & 2?
- Birth Date in Section 1 & 2?
- Full Name in Section 1 & 2?
- Permanent street address in Section 1 & 2?

Enclosed your check made payable to Smead Value Fund?

Included a voided check, if applicable?

Signed your application in Section 6?

For additional information please call toll-free **877.807.4122** or visit us on the web at **www.smeadcap.com**.